



1593 Argyle Street, Halifax, Nova Scotia B3J 2B2

Phone: (902) 429-7300 Fax: (902) 429-0211 www.neptunetheatre.com

PUBLIC SECURITIES TRANSFER FORM

Thank you for making a gift of publicly traded securities to Neptune Theatre!

In conjunction with transferring securities to Assante / Neptune's Account, **please forward the form to:**
Tom Krzyski, Controller for Neptune Theatre tkrzyski@neptunetheatre.com
Jane Smith, Assante Advisor jane.smith@assante.com
Dorothy Lee, Assante Transfer Department dlee@ci.com

To transfer securities, please provide the following information to your broker:

Receiving Institution: Assante Wealth Management

15 York St. 7th Floor,
Toronto ON
Canada, M5J 0A3

Assante Clearing Agent: For DTC – Depository Trust Company - clearing:

BBS Securities Inc.
DTC #5085
Omnibus: #200000002
Assante account #**102447307NO Cash Neptune Theatre Foundation**

For CDS – Canadian Depository Services - clearing:

CUID: ASNA
Assante account #**102447307NO Cash Neptune Theatre Foundation**

Transfer to: Assante Transfer Department Contact

Dorothy Lee
Transfer Administrator / Dealer Operations
Assante Wealth Management
15 York St. 7th Floor, Toronto ON M5J 0A3
☎ 416-364-1145 Ext 4511 | ✉ dlee@ci.com 📞 1-800-410-4505

Assante Advisor
Jane Smith 902-492-0594

THIS FORM CONFIRMS THE FOLLOWING CONTRIBUTION:

Name of Security: _____

Type of Security (shares, warrants, bonds, etc.) _____

Number of shares to be transferred: _____

Date of Transfer: _____ CUSIP# _____

Donor Name: _____

Address: _____

Email: _____ Phone: _____

Please designate these funds toward:

The Neptune Theatre Endowment Fund

Neptune Theatre's Annual Operating Fund

Signature: _____